

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	) Group Art Unit: 2616
	)
CHAVEZ et al.	) Confirmation No.: 8989
	)
Serial No.: 10/676,659	) Examiner: NGUYEN, Hanh N.
	)
Filed: September 30, 2003	) <u>THIRD SUPPLEMENTAL INFORMATION</u>
	) <u>DISCLOSURE STATEMENT</u>
Atty. File No.: 4366-157	)
	)
For: METHOD AND APPARATUS	)
FOR MIGRATING TO AN	)
ALTERNATE CALL	)
CONTROLLER	)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references cited on attached Form PTO-SB08 are being called to the attention of the Examiner.

- ☒ Copies of the cited non-patent and/or foreign references are enclosed herewith.
- ☐ Copies of the cited U.S. patents and/or patent applications are enclosed herewith.
- ☒ Copies of the cited U.S. patents/patent application publications are not enclosed in accordance with 37 C.F.R. § 1.98(a).

☐ Copies of the cited references are not enclosed, in accordance with 37 C.F.R. § 1.98(d), because the references were cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

☐ To the best of applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

☐ Examiner's attention is drawn to the following co-pending applications, copies of which have been or are being submitted:

Serial No. \_\_\_\_\_ filed \_\_\_\_\_

☐ Other: \_\_\_\_\_

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

### FEES

<input type="checkbox"/>	<p><b>37 CFR 1.97(b):</b> No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is satisfies one of the following conditions ("X" indicates satisfaction):</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or</li><li><input type="checkbox"/> Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or</li><li><input type="checkbox"/> Before the mailing date of a first Office Action on the merits, or</li><li><input type="checkbox"/> Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.</li></ul> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input checked="" type="checkbox"/>	<p><b>37 CFR 1.97(c):</b> The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions:</p> <ul style="list-style-type: none"><li>(1) a final action under 37 C.F.R. 1.113 or</li><li>(2) a notice of allowance under 37 C.F.R. 1.311, or</li><li>(3) an action that otherwise closes prosecution in the application.</li></ul> <p>This Information Disclosure Statement is accompanied by:</p> <ul style="list-style-type: none"><li><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</li></ul> <p style="text-align: center;">OR</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Please charge Deposit Account 19-1970 in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account 19-1970.</li></ul>
<input type="checkbox"/>	<p><b>37 CFR 1.97(d):</b> This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c).</p> <ul style="list-style-type: none"><li><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</li></ul> <p style="text-align: center;">AND</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Applicants hereby requests consideration of the reference(s) disclosed herein. Please charge Deposit Account 19-1970 in the amount of \$180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</li></ul>

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

☐ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

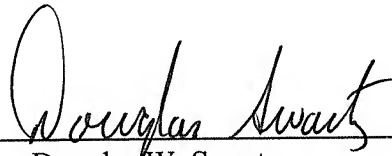
OR

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

SHERIDAN ROSS P.C.

By: \_\_\_\_\_



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Date: April 18, 2007

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/676,659
Filing Date	September 30, 2003
First Named Inventor	CHAVEZ et al.
Art Unit	2616
Examiner Name	NGUYEN, Hanh N.
Attorney Docket Number	4366-157

Sheet 1 of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,206,903	04-27-1993	KOHLER et al.	
		US- 5,280,561	01-18-1994	SATOH et al.	
		US- 5,828,747	10-27-1998	FISHER et al.	
		US- 5,905,793	05-18-1999	FLOCKHART et al.	
		US- 5,982,873	11-09-1999	FLOCKHART et al.	
		US- 6,163,607	12-19-2000	BOGART et al.	
		US- 6,173,053	01-09-2001	BOGART et al.	
		US- 6,192,122	02-20-2001	FLOCKHART et al.	
		US- 6,282,192 B1	08-28-2001	MURPHY et al.	
		US- 6,292,463	09-18-2001	BURNS et al.	
		US- 6,434,226	08-13-2002	TAKAHASHI	
		US- 6,574,469	06-03-2003	XIANG et al.	
		US- 6,671,262	12-30-2003	KUNG et al.	
		US- 6,721,712	04-13-2004	BENYASSINE et al.	
		US- 6,731,734	05-04-2004	SHAFFER et al.	
		US- 6,801,612	10-05-2004	MALCOLM et al.	
		US- 2004-0252676	12-16-2004	BYE	
		US- 2004-028199 A1	02-12-2004	CARLSON	
		US- 2004-101119 A1	05-27-2004	MALCOLM et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0805576 A2	11-05-1997	GPT Limited		
		EP 0920176 A2	06-02-1999	CRUICKSHANK		
		EP 0964563	12-15-1999	AT&T Corp.		
		EP 1677569 A1	07-05-2006	Avaya Technology Corp		
		EP 1677570 A1	07-05-2006	Avaya Technology Corp		
		WO 00/72536	11-30-2000	SMITH et al.		

Examiner  
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Art Unit	2616
Examiner Name	NGUYEN, Hanh N.
Attorney Docket Number	4366-157

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 2006-0014679	07-06-2006	BALDWIN et al.	
		US- 2006-0092919 A1	05-04-2006	HALLMARK et al.	
		US- 2006-0146799	07-06-2006	BALDWIN et al.	
		US- 2006-0146859	07-06-2006	BALDWIN et al.	
		US- 2006-0168326 A1	07-27-2006	BALDWIN et al.	
		US- 11/080,763	03-14-2005	COUGHLAN et al.	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 00/72560 A1	11-30-2000	Truechat, Inc.		
		WO 01/65808	09-07-2001	GIRARD		

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Sheet	3	of	4	Attorney Docket Number	4366-157

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Examination Report for EP Application No. 04255375.0 (June 28, 2006)	
		CLARK, William J.; "Multipoint Multimedia Conferencing"; IEEE Communications Magazine; May 1992; pp. 44-50	
		Cisco Systems Overview - Cisco IOS Telephony Services: Survival Remote Site Telephony, Copyright 2001, Cisco Systems, Inc., pp. 1-4	
		Cisco Systems Solutions Guide - "Survivable Remote Site Telephony Cisco 2600/3600 Voice Technical Marketing" Copyright 1992-2001, Cisco Systems, Inc., pp. 1-19	
		Cisco Systems IOS Release 12.2(8)T - Survivable Remote Site Telephony, pp. 1-74 (undated)	
		Cisco Solutions Guide, Copyright 1992-2001, Cisco Systems, Inc., <a href="http://www.cisco.com/warp/public/cc/pd/unco/srstl/tech/demha_sg.htm">http://www.cisco.com/warp/public/cc/pd/unco/srstl/tech/demha_sg.htm</a> (21 pages)	
		Cisco Systems - Survivable Remote Site IP Telephony, Copyright 1992-2003, Cisco Systems, Inc., <a href="http://www.cisco.com/warp/public/cc/pd/unco/srstl">http://www.cisco.com/warp/public/cc/pd/unco/srstl</a> , 1 page	
		Cisco Systems White Paper, Copyright 1992-2005, Cisco Systems, Inc., <a href="http://www.cisco.com/en/US/products/sw/voicesw/ps2169/products_white_paper09186a008...">http://www.cisco.com/en/US/products/sw/voicesw/ps2169/products_white_paper09186a008...</a> (5 pages)	
		Cisco IP Telephony Solution Reference Network Design, Dial Plan, #9562230403, Chapter 8, pp. 1-70 (undated)	
		Lucent Technologies Merlin Legend Communications Syst, Release 6.1, Network Reference, 555-661-150 Comcode 108289703; Issue 1, Aug 1998; 360 pages (3 parts)	

Examiner Signature		Date Considered	
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Sheet 4	of 4	Attorney Docket Number	4366-157

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		"Separation of Bearer and Signaling for Avaya™ Communication Manager," Avaya, Issue 1 (May 2003) pp. 1-42	
		Carroll Communications, Inc. Automatic Route Selection (ARS) Feature, at <a href="http://www.carrollcommunications.com/merlinmagix/AutomaticRouteSelection.html">http://www.carrollcommunications.com/merlinmagix/AutomaticRouteSelection.html</a> , 2 pages	
		KXTD System - ISDN - Basic and Primary Rate Interfaces, at <a href="http://www.thetelephoneexchange.co.uk/Teleweb_files/kxtd.html">http://www.thetelephoneexchange.co.uk/Teleweb_files/kxtd.html</a> , 5 pages	
		Definition - automatic route selection (ARS) at <a href="http://www.atisorg/tg2k/automatic_selection_route.html">http://www.atisorg/tg2k/automatic_selection_route.html</a> , 1 page	
		Acronym Finder - ARS at <a href="http://www.auditmypc.com/acronym/ARS.asp">http://www.auditmypc.com/acronym/ARS.asp</a> , 2 pages	
		WestNet Learning - glossary for UDP, at <a href="http://glossary.westnet.com/term.php?termId=2213">http://glossary.westnet.com/term.php?termId=2213</a> , 1 page	
		Declaration of Michael Gruen Under 37 CFR Section 1.98; 3 pages	

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